



05-23-03

1647\$

Please type a plus sign (+) inside this box →



PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

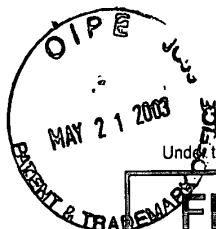
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

RECEIVED
MAY 21 2003
TECH CENTER 1600/2900

TRANSMITTAL FORM		Application Number	10/033,526
(to be used for all correspondence after initial filing)		Filing Date	November 2, 2001
		First Named Inventor	HUANG, YADONG
		Group Art Unit	1647
		Examiner Name	NICHOLS, CHRISTOPHER J.
Total Number of Pages in This Submission		Attorney Docket Number	UCAL-217
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) Exhibit 1	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2) Return Postcard	
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> CD, Number of CD(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Signing Attorney/Agent (Reg. No.)	PAULA A. BORDEN, 42,344 BOZICEVIC, FIELD & FRANCIS LLP		
Signature			
Date	May 21, 2003		
Express Mail No. EV333999764US			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/17 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003		Complete if Known	
Effective 01/01/2003. Patent fees are subject to annual revision.		Application Number	10/033,526
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	November 2, 2001
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	HUANG, YADONG
METHOD OF PAYMENT (check all that apply)		Examiner Name	NICHOLS, CHRISTOPHER J.
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		Art Unit	1647
<input checked="" type="checkbox"/> Deposit Account:		Attorney Docket No.	UCAL-217
Deposit Account Number 50-0815		FEE CALCULATION (continued)	
Deposit Account Name Bozicevic, Field & Francis LLP		3. ADDITIONAL FEES	
The Commissioner authorized to: (check all that apply)		Large Entity Fee Small Entity Fee	
<input checked="" type="checkbox"/> Charge fees indicated below <input checked="" type="checkbox"/> Credit any overpayments		Fee Code (\$)	
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application		Fee Description	
<input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account.		Fee Paid	
FEE CALCULATION		Fee Code (\$)	
1. BASIC FILING FEE		Fee Description	
Large Entity Fee Fee Fee Fee Fee Description Fee Paid		Fee Code (\$)	
1001 750 2001 375 Utility filing fee		1051 130 2051 65 Surcharge - late filing fee or oath	
1002 330 2002 165 Design filing fee		1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet	
1003 520 2003 260 Plant filing fee		1053 130 1053 130 Non-English specification	
1004 750 2004 375 Reissue filing fee		1812 2,520 1812 2,520 For filing a request for ex parte reexamination	
1005 160 2005 80 Provisional filing fee		1804 920* 1804 920* Requesting publication of SIR prior to Examination action	
SUBTOTAL (1) 0.00		1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1251 110 2251 55 Extension for reply within first month 55.00	
Total Claims 38 -31** = 7 x 9 = 63		1252 410 2252 205 Extension for reply within second month	
Indep. Claims 14 -13** = 1 x 42 = 42		1253 930 2253 465 Extension for reply within third month	
Multiple Dependent =		1254 1,450 2254 725 Extension for reply within fourth month	
Large Entity Fee Fee Fee Fee Fee Description Fee Paid		1255 1,970 2255 985 Extension for reply within fifth month	
1202 18 2202 9 Claims in excess of 20		1401 320 2401 160 Notice of Appeal	
1201 84 2201 42 Independent claims in excess of 3		1402 320 2402 160 Filing a brief in support of an appeal	
1203 280 2203 140 Multiple dependent claim, if not paid		1403 280 2403 140 Request for oral hearing	
1204 84 2204 42 ** Reissue independent claims over original patent		1451 1,510 1451 1,510 Petition to institute a public use proceeding	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		1452 110 2452 55 Petition to revive - unavoidable	
SUBTOTAL (2) \$ 105.00		1453 1,300 2453 650 Petition to revive - unintentional	
**or number previously paid, if greater; For Reissues, see above.		1501 1,300 2501 650 Utility issue fee (or reissue)	
		1502 470 2502 235 Design issue fee	
		1503 630 2503 315 Plant issue fee	
		1406 130 1460 130 Petitions to the Commissioner	
		1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
		1806 180 1806 180 Submission of Information Disclosure Stmt	
		8021 40 8021 40 Recording each patent assignment per property (times number of properties)	
		1809 750 2809 375 Filing a submission after final rejection (37 CFR § 1.129(a))	
		1810 750 2810 375 For each additional invention to be examined (37 CFR § 1.129(b))	
		1801 750 2801 375 Request for Continued Examination (RCE)	
		1802 900 1802 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		SUBTOTAL (3) (\$)	
SUBMITTED BY		Complete (if applicable)	
Name (Print/Type) Paula A. Borden		Registration No. 42,344	
Signature		Telephone (650) 327-3400	
		Date 05/21/2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.